

## EXECUTIVE BOARD RESOLUTION

**RESOLUTION NO. OR-23-016**  
**September 28, 2023**

WHEREAS, The Secretary of the Department of Banking and Securities has requested, pursuant to Sections 212 and 709(b) of *The Administrative Code of 1929*, approval, in the Office of the Secretary, to establish the Legislative Office and abolish the Executive Deputy Secretary; in the Bureau of Bank Supervision, establish the Offsite, Enforcement, and Large Bank Division, and rename the Examination Division 1 to the Depository Examination Division 1 and the Examination Division 2 to the Depository Examination Division 2; in the Bureau of Non-Depository Examination, rename the Eastern Regional Division to the Non-Depository Examination Division 1 and the Western Regional Division to the Non-Depository Examination Division 2; in the Office of Securities, rename the Securities Licensing Office to the Securities Registration Office and abolish the Chief Accountant Office; and in the Bureau of Securities Compliance and Examinations, rename the Eastern Regional Division to the Securities Examination Division 1 and the Western Regional Division to the Securities Examination Division 2; Therefore, be it

RESOLVED, That the Secretary of the Department of Banking and Securities is hereby granted approval to make the above-mentioned **changes shown by (bold) and an asterisk\***; and, be it further

RESOLVED, That the attached organizational chart reflecting the above changes will be submitted to the Legislative Reference Bureau for publication in the *Pennsylvania Bulletin and Pennsylvania Code*.

❖ Secretary

- Communications Office
- Policy Office
- **\*Legislative Office**
- Deputy Secretary for Depository Institutions
  - Bureau of Bank Supervision
    - **\*Offsite, Enforcement, and Large Bank Division**
    - **\*Depository Examination Division 1**
    - **\*Depository Examination Division 2**
  - Bureau of Credit Union and Trust Supervision
    - Credit Union Division
    - Trust Division
- Deputy Secretary for Non-Depository Institutions
  - Bureau of Non-Depository Examination
    - **\*Non-Depository Examination Division 1**
    - **\*Non-Depository Examination Division 2**

- Compliance Office
- Licensing Office
- Deputy Secretary for Securities
  - Corporation Finance Office
  - **\*Securities Registration Office**
  - Bureau of Securities Compliance and Examinations
    - **\*Securities Examination Division 1**
    - **\*Securities Examination Division 2**
- Deputy Secretary for Financial Services for Consumers and Business
  - Financial Education, Protection and Outreach Office
  - Consumer Services Office
  - Business Services Office
- Deputy Secretary for Administration
  - Administrative Services Office
  - Fiscal and Support Services Office

# DEPARTMENT OF BANKING AND SECURITIES

